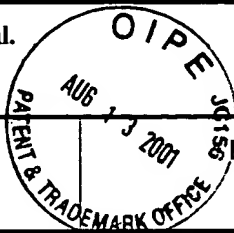


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#2
09/14-5-

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
FIS920010069US1 (14401)

In Re Application Of: Casimer M. DeCusatis, et al.



Serial No.
09/865,256

Filing Date
May 25, 2001

Examiner

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Group Art Unit
2855

Title: **APPARATUS AND METHOD FOR WAVELENGTH-LOCKED LOOPS FOR SYSTEMS AND APPLICATIONS EMPLOYING ELECTROMAGNETIC SIGNALS**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

FIS920010069US1 (14401)

In Re Application Of: Casimer M. DeCusatis, et al.



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Title: **APPARATUS AND METHOD FOR WAVELENGTH-LOCKED LOOPS FOR SYSTEMS AND APPLICATIONS EMPLOYING ELECTROMAGNETIC SIGNALS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0458/IBM as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on August 8, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Janet Grossman
Signature of Person Mailing Correspondence

Janet Grossman

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Steven Fischman

Signature

Dated: August 8, 2001

Steven Fischman
Registration No. 34,594
SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, NY 11530
(516) 742-4343

CC:



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AUG 14 2001

Applicants: Casimer M. DeCusatis, et al.

Docket: FIS9200100691US (2001)
FEBRUARY CENTER 2001

Serial No.: 09/865,256

Dated: August 8, 2001

Filed: May 25, 2001

Group Art Unit: 2855

For: APPARATUS AND METHOD FOR WAVELENGTH-
LOCKED LOOPS FOR SYSTEMS AND APPLICATIONS
EMPLOYING ELECTROMAGNETIC SIGNALS

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- TN* 1. U.S. Patent No. 3,777,154, issued December 4, 1973;
- TN* 2. U.S. Patent No. 3,943,021, issued March 9, 1976;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on August 8, 2001.

Dated: August 8, 2001

Janet Grossman
Janet Grossman

- TN* 3. U.S. Patent No. 4,725,120, issued February 16, 1988;
- TN* 4. U.S. Patent No. 4,744,617, issued May 17, 1988;
- TN* 5. U.S. Patent No. 4,863,332, issued September 5, 1989;
- TN* 6. U.S. Patent No. 4,870,637, issued September 26, 1989;
- TN* 7. U.S. Patent No. 4,872,739, issued October 10, 1989;
- TN* 8. U.S. Patent No. 4,890,894, issued January 2, 1990;
- TN* 9. U.S. Patent No. 4,892,376, issued January 9, 1990;
- TN* 10. U.S. Patent No. 4,895,424, issued January 23, 1990;
- TN* 11. U.S. Patent No. 4,943,136, issued July 24, 1990;
12. U.S. Patent No. 4,944,568, issued July 31, 1990;
13. U.S. Patent No. 5,009,477, issued April 23, 1991;
14. U.S. Patent No. 5,071,216, issued December 10, 1991;
15. U.S. Patent No. 5,101,460, issued March 31, 1992;
16. U.S. Patent No. 5,134,508, issued July 28, 1992;
17. U.S. Patent No. 5,144,691, issued September 1, 1992;
18. U.S. Patent No. 5,155,784, issued October 13, 1992;
19. U.S. Patent No. 5,155,785, issued October 13, 1992;
20. U.S. Patent No. 5,182,780, issued January 26, 1993;
21. U.S. Patent No. 5,204,925, issued April 20, 1993;
22. U.S. Patent No. 5,218,654, issued June 8, 1993;
23. U.S. Patent No. 5,228,105, issued July 13, 1993;
- TN* 24. U.S. Patent No. 5,240,617, issued August 31, 1993;

09/865,256

- TN
25. U.S. Patent No. 5,245,680, issued September 14, 1993;
26. U.S. Patent No. 5,247,593, issued September 21, 1993;
27. U.S. Patent No. 5,259,051, issued November 2, 1993;
28. U.S. Patent No. 5,280,551, issued January 18, 1994;
29. U.S. Patent No. 5,268,981, issued December 7, 1993;
30. U.S. Patent No. 5,283,851, issued February 1, 1994;
31. U.S. Patent No. 5,367,598, issued November 22, 1994;
32. U.S. Patent No. 5,371,820, issued December 6, 1994;
33. U.S. Patent No. 5,388,174, issued February 7, 1995;
34. U.S. Patent No. 5,402,515, issued March 28, 1995;
35. European Patent Application No. 0 486 208 A2, dated May 20, 1992;
36. European Patent Application No. 0 571 037 A1, dated November 24, 1993;
37. European Patent Application No. 0 911 658 A1, dated April 28, 1999;
38. International Patent Application WO 94/18587, dated August 18, 1994;
39. International Patent Application WO 94/18589, dated August 18, 1994;
40. International Patent Application WO 96/10201, dated April 4, 1996; and
- TN 41. Japanese Patent Application No. 6-19838, dated January 28, 1994.

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no petition, certification or fee is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Steven Fischman", with a long horizontal flourish extending to the right.

Steven Fischman
Registration No. 34,594

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Garden City, New York 11530
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